

# A Comparative Study Between a Genetic Algorithm and Tabu Search for Scheduling Problems on a Single Machine

Nabila Lounissi<sup>1</sup>, Omar Selt<sup>2</sup>, Allaoua Hemmak<sup>3</sup>

<sup>1</sup>Mohamed Boudiaf University, M'Sila, Algeria

<sup>2</sup>Ziane Achour University, Djelfa, Algeria

<sup>3</sup>Mohamed Boudiaf University, M'Sila, Algeria

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## ABSTRACT

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In this paper, we will present a comparative study between a genetic algorithm and tabu search for solving scheduling problems on a single machine to minimize the weighted sum of the task's end dates; since this problem is NP-hard in the strong sense; exact methods require a computational effort that increases exponentially with the size of the problem. Approximate algorithms enable the solution of this problem reasonably. In this farm, we present a genetic algorithm and tabu search metaheuristics, aiming to find an approximate solution to the problem under consideration. The results obtained are applicable in economics and industry.

**Keywords:** NP hard -scheduling- genetic algorithm -tabu search- neighborhoods

## 1. INTRODUCTION

The scheduling problem involves organizing the time required to complete task's considering time constraints (deadlines and sequencing constraints), as well as utilization and availability constraints. A schedule is solution to the scheduling problem; it describes the execution of task's and the allocation of resources over time and aims to satisfy one or more objectives [10]. More precisely, we speak of scheduling when we reserve the term sequencing for the case where only the relative order of tasks is fixed independently of the execution dates.

### Tasks

A task  $i$  is elementary work entity (operation or set of operation), located in time by a start date  $r_i$  or end date  $c_i$ , the completion of which requires a duration  $p_i$  and which consumes resources (material, personnel, etc.).

### The constraints

In most scheduling problems, the task to be executed are subject to constraints that must be satisfied when searching for an optimal solution. There are three types of constraints.

### Potential constraints

These are the time location constraints, for example, task  $i$  must precede task  $j$ , or task  $i$  must be completed before a certain date. With this type of constraint, the problem is called a central scheduling problem.

### Disjunctive constraints

When two tasks cannot be performed at the same time, we say that there is a disjunctive constraint that both tasks must satisfy.

### Cumulative constraints

They concern the evolution over time of the total volume of human or material resources devoted to the execution of the tasks.

### Resources

Resources are the means required for the execution of tasks; they are of two types.

A resource is consumable if, after being allocated to one task, it is no longer available for other tasks [12]. Similar to the case of a raw material or money.

A Resource is renewable if, after being allocated to a task, it becomes available again, upon completion of that task, for other tasks, such as a machine, a process, etc.

### The criteria

The criteria of a scheduling problem is the economic function that one aims to optimize. It is also called in another sense (objective function).

In general, the criterion is considered as an application  $F$  from the set  $\Sigma$  of permutation of the tasks of the problem posed to  $R^+$ , which, at each permutation.

$(j_1, j_2, \dots, j_n)$ , associates a positive real number.

$F(j_1, j_2, \dots, j_n)$ , which expresses, in addition to the efficient use of resources, the overall execution time and compliance with the greatest number of constraints, the objective function associated with a scheduling problem is defined by:  $F_{obj} = \min_{\sigma \in \Sigma} F(\sigma)$

### Neighborhood Methods

Neighborhood methods are based on the notion of neighborhood.

#### Definition

Let  $X$  be the set of admissible configurations of a problem. A neighborhood is any application  $N: x \rightarrow 2^x$ , and a

Neighborhood exploration mechanism is any procedure that specifies how the search moves from a configuration  $S \in X$  to a configuration  $S' \in N(S)$

Configuration  $S$  is said to be a local optimum (minimum) concerning the neighborhood  $N$  if

$$\forall S' \in N(S): f(S) \leq f(S')$$

A typical neighborhood matching method starts with an initial configuration and then performs an iterative process that consists of replacing the current configuration with one of its neighbors (Schmidt, G, 2000), taking into account the cost function. This process stops and returns the best configuration found when the stopping condition is met. This condition can generally be a limit for the iteration member.

Initialization: Generate an initial population  $P$  of solutions of size  $|P| = n$

Algorithm

Repeat:

Crossover: Combine the two parent solutions  $X$  and  $Y$  to form a child solution  $y$

Conditional mutation of  $y$

Choose an individual solution to be replaced in the population

Replace  $y$  with  $y$  in the population

Until the stopping criterion is met

## 2. METHODS

### Proposed methods:

#### Genetic algorithm

This class of methods is based on an imitation of the adaptation phenomena of living beings.

Genetic algorithms operate on an analogy with the reproduction of living beings.

Generally speaking, genetic algorithms use the sum principle: a population of individuals (corresponding to solutions) evolves at the same time, as in natural evolution in biology. For each individual, its ability to adapt to the external environment is measured by fitness [8]. Genetic algorithms are then based on three functionalities.

### Selection

Which allows us to favor individuals who have better fitness for us, the fitness will be the most valuable, as it saves the value of the objective function of the solution associated with the individual.

### Crossing

Which combines two parent solutions to form one or two children (offspring), trying to keep the good characteristics of the parent solution.

### Mutation

Which allows diversity to be added to the population by mutating certain characteristics (genes) of a solution?

The representation of solutions (coding) is a critical point in the success of a genetic algorithm. It must, of course, adapt as well as possible to the problem and the evaluation of a solution.

### Algorithm

- 1: Initialization: generate an initial population  $P$  of solutions of size  $|P| = n$
- 2: Repeat
- 3: Select: choose two solutions  $X_1$  and  $X_2$  using a selection technique
- 4: Crossover: combine the two parent solutions  $X_1$  and  $X_2$  to form a child solution  $y$
- 5: Mutation of  $y$  under conditions
- 6: Choose an individual solution  $y$  to be replaced in the population
- 7: Replace  $y$  with  $y$  in the stopping criterion is satisfied

### Tabu Search

The Tabu method was introduced simultaneously by several researchers, P. Hansen, F. Glover, and B. Jaumard (1986).

Tabu search is used because it prohibits the retrieval of recently visited solutions.

At each iteration, the least –worst neighbor is chosen [3].

To avoid cycles, i.e., the infinite repetition of a sequence of moves, the last  $L$  moves are considered forbidden, and  $L$  is the size of the Tabu list.

At each iteration, the move performed is therefore the least-worst non-Tabu move.

### Tabu List

The Tabu list represents short-term memory; it contains the attributes of the most frequently performed moves.

This list is maintained to guide the search.

### Aspiration Criterion

This criterion is often used to remove Taboo restrictions from a high-quality move. It allows bypassing certain forbidden cases. Its main use is to override the prohibition of a move if it allows obtaining an element better than the solution found so far (consists of revoking the Taboo status of a move if the latter allows solving a higher quality than that of the best solution found so far).

### Intensification Criterion

Intensification consists of returning to one of the best solutions found so far and then resuming the search for this solution.

The intensification strategy is embodied in the following algorithm by reinforcing the search in the list of best moves.

### **Diversification Criterion**

This consists of generating a new solution, different from the one already explored, with the aim of moving in a new direction, to explore another region.

### **Stopping Criterion**

Generally, to stop the algorithm, two criteria are taken into account or ignored. First, at each iteration, the total iteration counter has not exceeded a maximum number since the beginning of the process.

We base our calculation on another threshold value, which corresponds to the maximum number of iterations we allow between two consecutive modifications (improvements) of the (best) solution [2].

But instead of considering the number of iterations, we could also base our calculation on the total time, which should be less than a maximum value [13].

### **General Tabu Search Algorithm**

Below, we present the general Tabu search algorithm, with an emphasis on short-term memory and aggressive exploration :

Generate an initial solution  $S$  randomly

$S^* \leftarrow S$  ;  $C^* \leftarrow F(S)$  /  $S^*$  is the best solution encountered,  $C^*$  is its cost, and  $F$  is the objective function

Add  $S$  to the tabu list;  $k \leftarrow 0$

Repeat until an end criterion is met.

Choose from the neighborhood of  $S_k$ ,  $V(S_k)$ , the move that minimizes and that does not belong to the taboo list, best ( $S_k$ )

$S_{k+1} \leftarrow best(S_k)$

If the tabu list is full, then

Replace the last element with  $S_{k+1}$

End if

Add  $S_{k+1}$  to the tabu list

End if

If  $(C(S_{k+1}) < C^*)$  then

$S^* \leftarrow S_{k+1}$ ,  $C^* \leftarrow C(S_{k+1})$

End if

End

In each availability period, jobs must be scheduled according to the WSPT rule in an optimal schedule [11].

$$\frac{P_h}{w_h} = \min \left\{ \frac{p_k}{w_k} \right\}$$

### **Illustrative example**

Given the problem of 5 jobs, we consider the size of the Tabu List = 2

All jobs are available at the start of the schedule.

job	1	2	3	4	5
P <sub>i</sub>	2	5	6	4	8
w <sub>i</sub>	3	4	2	1	2

Initialization:

Maximum number of iterations =6

$\sigma^* \leftarrow \sigma_0$  and  $f^* \leftarrow f_0$

Tabu list = $\emptyset$

$i \leftarrow 1$

iterations 01:the neighborhoods are : $\sigma_1$ :(1,2,3,4,5) (2,3,1,4,5) (2,1,4,3,5) (2,1,3,5,4).

f<sub>i</sub>: 137 180 141 144

bestneighbor no tabu

$\sigma_0 = (1,2,3,4,5)$

Tabu list{(1,2,3,4,5)}

$i \leftarrow 2$

Iterations 02:the neighborhoods are :

$\sigma_1$ :(2,1,3,4,5) (1,3,2,4,5) (1,2,4,3,5)(1,2,3,5,4).

f<sub>i</sub>: 144 204 139 137

best neighbor tabu

$\sigma_0 = (1,2,4,3,5)$

Tabu list {(1,2,4,3,5)}

$i \leftarrow 3$

Iterations 03:the neighborhoods are :

$\sigma_1$ : (2,1,4,3,5) (1,4,2,3,5) (1,2,3,4,5) (1,2,4,5,3).

f<sub>i</sub>: 146 164 137 143

best neighbor

$\sigma_1 = (1,2,4,5,3)$

Tabu list {(1,2,4,5,3)}

$i \leftarrow 4$

Iterations 04 :the neighborhoods are :

$\sigma_1$ : (2,1,4,5,3) (1,4,2,5,3) (1,2,5,4,3) (1,2,4,3,5).

f<sub>i</sub>: 150 168 143 139

best neighbor no tabu

$\sigma_0 = (1,2,4,3,5)$

Tabu list= $\{(1,2,4,3,5), (1,2,4,5,3)\}$

$i \leftarrow 5$

Iterations 05 :the neighborhoods are :

$\sigma_1 :$  (2,1,4,3,5) (1,4,2,3,5) (1,2,3,4,5) (1,2,4,5,3).

$f_i :$  146 164 137 143

best neighbor tabu

$\sigma_0 = (2,1,4,3,5)$

Tabu list $\leftarrow \{(2,1,4,3,5), (1,2,4,3,5)\}$ .

$i \leftarrow 6$

Iterations 06 :the neighborhoods are :

$\sigma_1 :$  (1,2,4,3,5) (2,4,1,3,5) (2,1,3,4,5) (2,1,4,5,3).

$f_i :$  139 178 144 150

best neighbor tabu

$\sigma_0 = (2,1,3,4,5)$

Tabu list $\leftarrow \{(2,1,4,5,3), (2,1,4,3,5)\}$ .

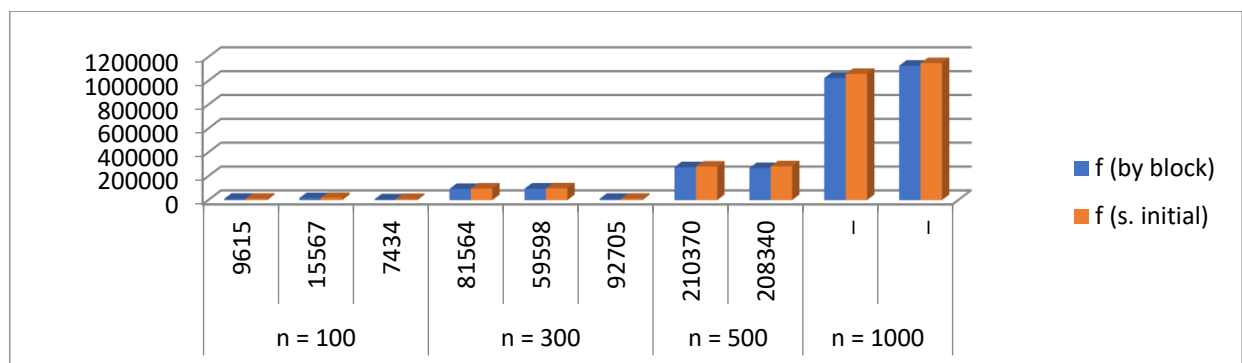
$I \leftarrow 7$  stop

So the search process stop, and the best solution found is :

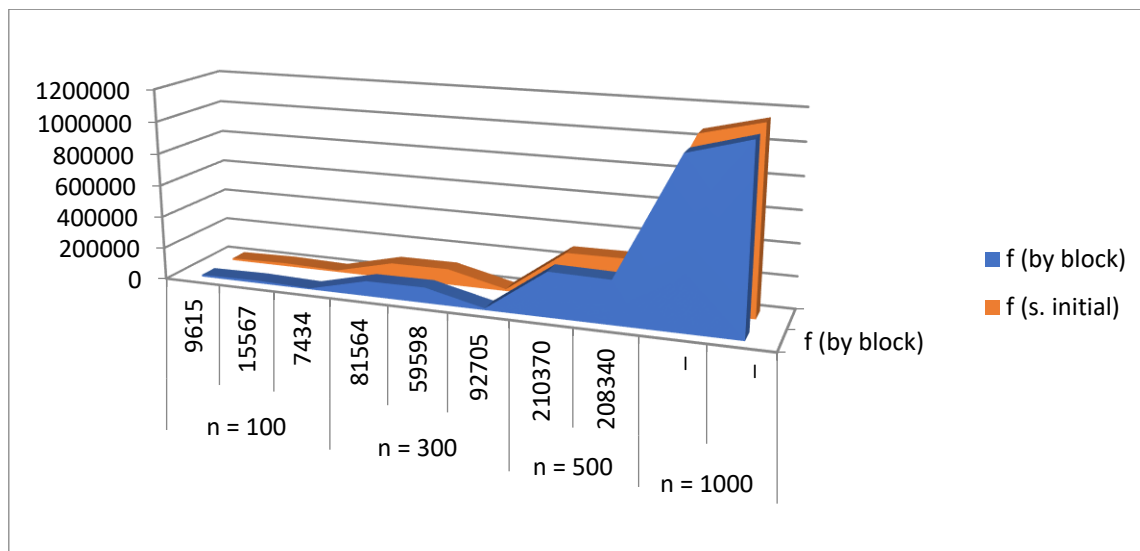
$\sigma^* = (1,2,3,4,5)$  and  $f^* = \sum_{i=1}^5 w_i c_i = 137$

jobs	f (swap)	f (by block)	f (s.initial)
N=10	9615	11161	11740
	15567	16482	18917
	7434	6077	9616
N=30	81564	93955	96735
	59598	96403	98680
	92705	10620	11620
N=50	210370	276280	280970
	208340	269140	283690
N=100	-	1024100	1058500
	-	1127300	1149000

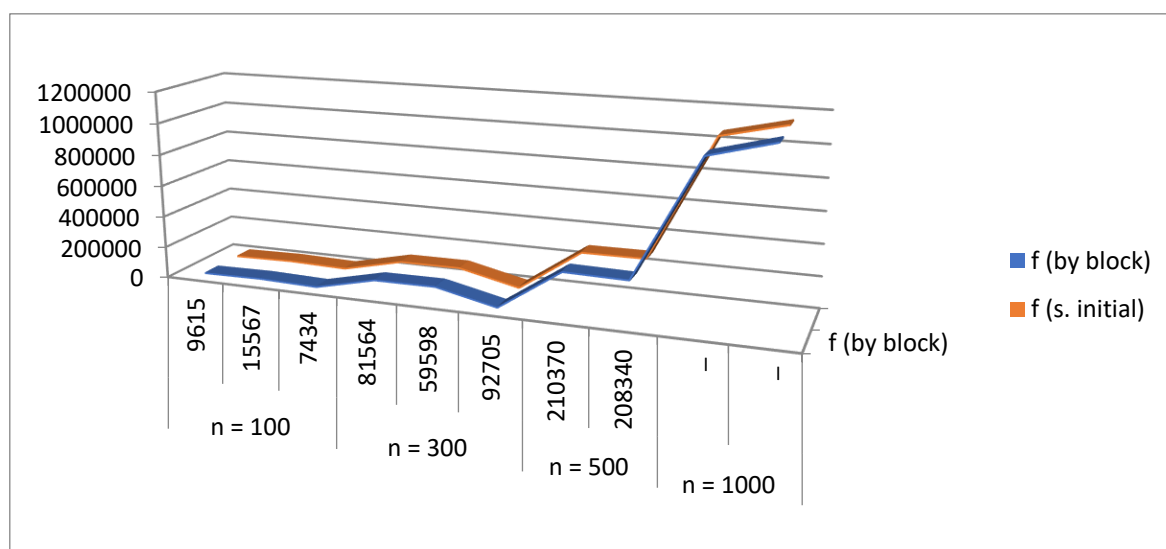
Table 1.Results from two approaches



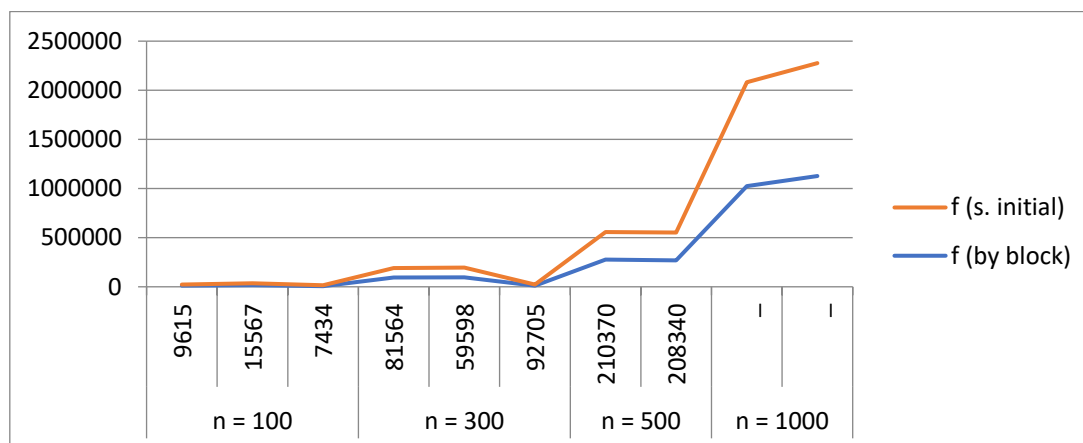
**Fig1.Amelioration cost between two neighbourhoods**



**Fig2.The best cost**



**Fig3.Amelioration cost between two neighborhoods.**



**Fig4.The best cost**

### **3. RESULTS AND DISCUSSION**

Genetic algorithms are based on:

A chromosomal representation of the problem's solutions.

A method for generating an initial population of solutions.

An evaluation method that ranks the solutions according to their suitability.

### **4. CONCLUSION**

In this work, we presented a genetic algorithm and tabu search method for solving the scheduling problem on a single machine with unavailability periods, and we suggested different types of neighborhoods. Each time we noticed the improvement in the solutions for our problem and the best solution based on neighborhood swapping.

Therefore, the neighborhood improvement strategy (swapping two adjacent jobs) is better than the neighborhood by block; when the number of jobs is less than 50, but for jobs exceeding 50, neighborhood by block gives better results with minimal execution time. In practice, we have noticed that tabu search presents some better solutions, but theoretically, the genetic algorithm presents all possible effective solutions.

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